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PHA 6157.2 (3085/1)  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ng et al.

Serial No. 10/647,866

Filed August 25, 2003

For PROCESS FOR PREPARATION OF 9,11-EPOXY STEROIDS AND  
INTERMEDIATES USEFUL THEREIN

December 9, 2003

COMMISSIONER FOR PATENTS  
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In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

The references listed on the attached PTO/SB/08A have been previously submitted in parent application Serial No. 10/112,355 and, therefore, in accordance with MPEP 609, are not being provided at this time.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97(b) in that applicants believe that it is being filed prior to the mailing date of the first Office action on the merits. Accordingly, neither a statement nor fee under 37 C.F.R. §1.97(c) or (d) is required. However, if an Office action was issued prior to the date of mailing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge any required fees regarding this Information Disclosure Statement to Deposit Account No. 19-1345.

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Respectfully submitted,

*Patricia K. Fitzsimmons*

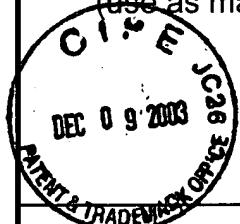
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Sheet

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of

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## Complete if Known

Application Number	10/647,866
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Confirmation Number	not yet assigned
First Named Inventor	Ng et al.
Group Art Unit	not yet assigned
Examiner Name	not yet assigned

Attorney Docket No. PHA 6157.2 (3085/1)

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	3,053,856	B1	Payne et al.	09-11-1962
	2	3,200,113	B1	Christiansen et al.	08-10-1965
	3	3,300,489	B1	Holden et al.	01-24-1967
	4	3,413,288	B1	Creger et al.	11-26-1968
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	6	3,897,417	B1	Warnant et al.	07-29-1975
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	11	5,565,588	B1	Batist et al.	10-15-1996
	12	5,616,742	B1	Fu et al.	04-01-1997

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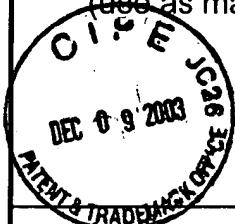
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Sheet 2 of 4 Attorney Docket No. PHA 6157.2 (3085/1)

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
	13	DE	2404947		Roussel UCLAF	08-22-1974	
	14	DE	2349022	A1	Andor et al.	05-31-1977	
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	20	WO	97/21720	A2	G.D. Searle and Co.	06-19-1997	

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	21	Abstract 084228, "Hydroxylated Androstane Production From Sterols", Chemical Abstracts, Vol. 100, No. 11, p. 430, March 12, 1984 and JP 58 179 498 A (Danippon Ink and Chemicals, Inc.); Japan, October 20, 1983	
	22	ARIAS et al., "Epoxidation of Alkenes With Trichloroacetonitrile/Hydrogen Peroxide In A Neutral Biphasic Solvent System", J. Org. Chem., Vol. 48, pp. 888-890, 1983	

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Sheet	3	of	4	Attorney Docket No.	PHA 6157.2 (3085/1)
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23	BROWN et al., "Steroidal Aldosterone Blockers VII", <i>J. Med. Chem.</i> , Vol. 6, pp. 732-735, 1963	
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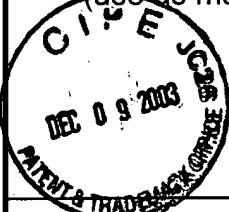
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